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PTO/\$6/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

09/832,328

April 10, 2001

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Filing Date

Application Number

FORM COX, Earl C. First Named Inventor (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name AVI 1006-01US** 26 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** X Fee Transmittal Form (for an Application) Appeal Communication to Board X Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation X Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ X Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<u> </u>				
CERTIFICATE OF MAILING				
	ndence is being deposited with the United commissioner for Patents, Washington,		sufficient postage as first class June 26, 2001	
Typed or printed name	1.	John Griecci		
Signature	John Shinin	Date	June 26, 2001	

John Griecci, Reg. No. 39,694

June 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JUN 2 9 2001 JUN 2

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL	AMOUNT	OF I	PAY!	MENT
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(\$)	621.00

Complete if Known			
Application Number	09/832,328		
Filing Date	April 10, 2001		
First Named Inventor	COX, Earl C.		
Examiner Name			
Group Art Unit			
Attorney Docket No	AVI 1006-01US		

METHOD OF PAYMENT	FEE CALCULATION (continued)					
1. X The Commissioner is hereby authorized to charge indicated foes and gradit any overnaments to:	3. ADDITIONAL FEES					
indicated fees and credit any overpayments to: Deposit	Large Small					
Account 50-1809	Entity Entity Fee Fee Fee Fee Fee Description	Fee Paid				
Number Deposit	Code (\$) Code (\$)	65				
Account Name Law Office of John A. Griecci	105 130 205 65 Surcharge - late filing fee or oath	05				
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
Applicant claims small entity status.	139 130 139 130 Non-English specification					
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination					
2. X Payment Enclosed: X Check Credit card Money Other	112 920° 112 920° Requesting publication of SIR prior to Examiner action					
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action					
	115 110 215 55 Extension for reply within first month					
1. BASIC FILING FEE	116 390 216 195 Extension for reply within second month					
Large Entity Small Entity Fee Fee Fee Fee Description	117 890 217 445 Extension for reply within third month					
Code (\$) Code (\$) Fee Paid	118 1,390 218 695 Extension for reply within fourth month					
101 710 201 355 Utility filing fee 355	128 1,890 228 945 Extension for reply within fifth month					
106 320 206 160 Design filing fee	119 310 219 155 Notice of Appeal					
107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal					
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing					
-	138 1,510 138 1,510 Petition to institute a public use proceeding					
SUBTOTAL (1) (\$) 355	140 110 240 55 Petition to revive - unavoidable					
2. EXTRA CLAIM FEES	141 1,240 241 620 Petition to revive - unintentional					
Fee from Extra Claims <u>below</u> Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)					
Total Claims 29 -20** = 9 x 9 = 81	143 440 243 220 Design issue fee					
Independent 6 - 3** = 3 x 40 = 120	144 600 244 300 Plant issue fee					
Multiple Dependent =	122 130 122 130 Petitions to the Commissioner					
	123 50 123 50 Processing fee under 37 CFR 1.17(q)					
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt					
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)					
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))					
104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be					
109 80 209 40 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))					
110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)					
and over original patent	169 900 169 900 Request for expedited examination of a design application					
SUBTOTAL (2) (\$) 201	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)	65				

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	John A. Griecci	Registration No. (Attorney/Agent)	39,694	Telephone	(562) 985-1158
Signature	John Dini			Date	June 26, 2001

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/832,328

04/10/2001

Earl C. Cox

AVI 1006-01US

CONFIRMATION NO. 8810

FORMALITIES LETTER

The Law Office of John A. Griecci Ste. D, PMB 344 2201 E. Willow St Signal Hill, CA 90806-2142

Date Mailed: 06/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$201.
 - \$81 for 9 total claims over 20.
 - \$120 for 3 independent claims over 3.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 621.

355.00 0P 81.00 0P 120.00 0P 65.00 0P

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/05/2001 AZERGAW1 00000138 0983382 01 FC:201 02 FC:203 03 FC:202 04 FC:205